

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

HARRINGTON GLOBAL OPPORTUNITY
FUND, LIMITED,

Plaintiff,

v.

CIBC WORLD MARKETS CORP., CIBC
WORLD MARKETS INC., BANK OF
AMERICA SECURITIES, INC., MERRILL
LYNCH CANADA INC., MERRILL LYNCH
PROFESSIONAL CLEARING CORP., TD
SECURITIES, INC., TD SECURITIES(USA)
LLC, CORMARK SECURITIES, INC., UBS
FINANCIAL SERVICES, INC., UBS
SECURITIES CANADA, INC., SOCIETE
GENERALE CAPITALE CANADA, INC.,
SG AMERICAS SECURITIES, LLC, and
JOHN DOES 1 THROUGH 10.

Defendants.

Case No. 1:21-cv-00761-LGS

CORPORATE DISCLOSURE STATEMENT

Pursuant to Federal Rule of Civil Procedure 7.1, defendants Societe Generale Capitale Canada, Inc. and SG Americas Securities, LLC state the following:

(1) Societe Generale Capitale Canada Inc. states that it is a wholly-owned subsidiary of Société Générale S.A. Société Générale S.A. is a publicly traded company, and no publicly traded company owns 10% or more of its stock.

(2) SG Americas Securities, LLC states that it is a limited liability company and is an indirect wholly-owned subsidiary of Société Générale S.A. Société Générale S.A. is a publicly traded company, and no publicly traded company owns 10% or more of its stock.

Dated: New York, New York
March 8, 2021

Respectfully submitted,

ALLEN & OVERY LLP

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*Attorneys for Defendants Societe Generale Capitale
Canada Inc. and SG Americas Securities, LLC*